

# How to Get More Bang for Your Bucks, Best Practices in Pavement for Local Government

John Harvey

University of California Pavement Research Center  
City and County Pavement Improvement Center

Contra Costa Transportation Authority

Walnut Creek, CA

4 April 2018



# City and County Pavement Improvement Center

[www.ucprc.ucdavis.edu/ccpic](http://www.ucprc.ucdavis.edu/ccpic)



Welcome to the

**City and County Pavement Improvement Center**  
(CCPIC)



## Our Mission

*CCPIC works with local governments to increase pavement technical capability through timely, relevant, and practical support, training, outreach and research*

## Our Vision

*Making Local Government-Managed Pavement Last Longer, Cost Less, and Be More Sustainable*

## Best Practices [More](#)

- Answers to common problems
  - [Writing and Enforcing Specs for Asphalt Compaction](#)
  - [Writing Concrete Specs for Durability and Sustainability](#)
  - [Unpaving to Create Affordable, Safe, Smooth Gravel Roads](#)

## FAQ [More](#)

- Coming Soon!!
- Item 1
  - Item 2

## Peer-To-Peer [More](#)

- Coming Soon!!
- Item 1
  - Item 2

## Presentations [More](#)

- Coming Soon!!
- Item 1

## Sample Specs [More](#)

- Coming Soon!!
- Item 1

## Tools [More](#)

- Coming Soon!!
- Item 1

## News And Events

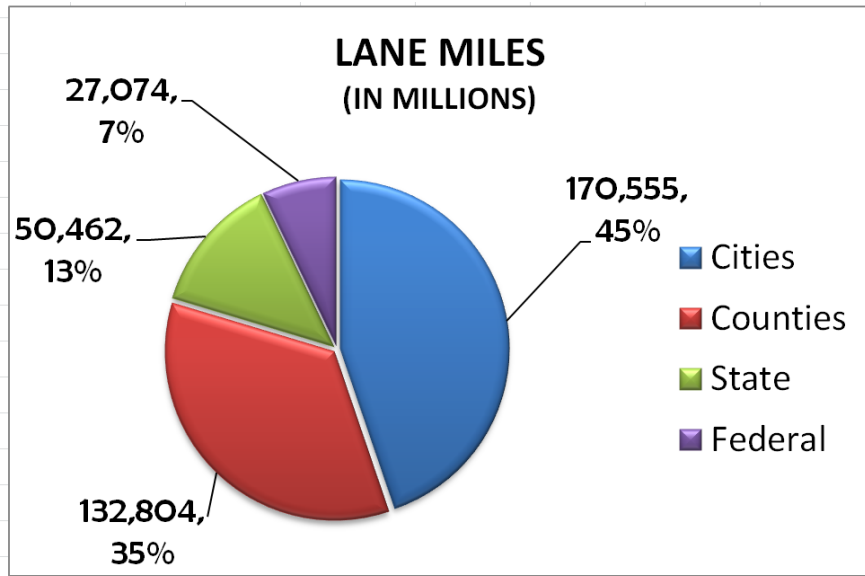
### September 13-14, 2017

League of CA Cities - Annual Expo  
Sacramento, Convention Center  
John Harvey from CCPIC and Frank Farshidi from the City of San Jose will be speaking about Reducing Pavement Life Cycle Costs and Greenhouse Gases at the LOCC 2017 Annual Expo on September 14th. [For more information.](#)

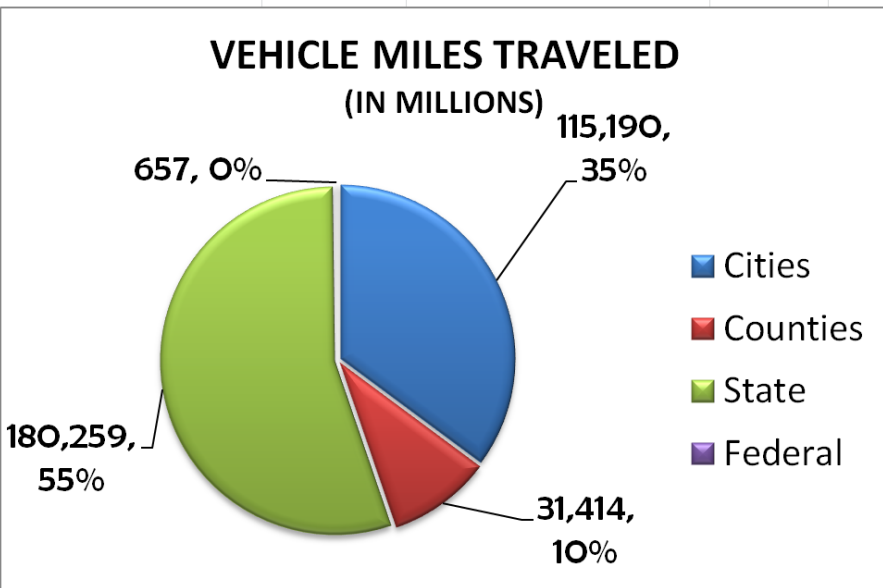
## Job Postings

- League of California Cities and County Engineers Association of California will be setting up governing board in next months

# Why is Local Government Pavement Important to Sustainability?



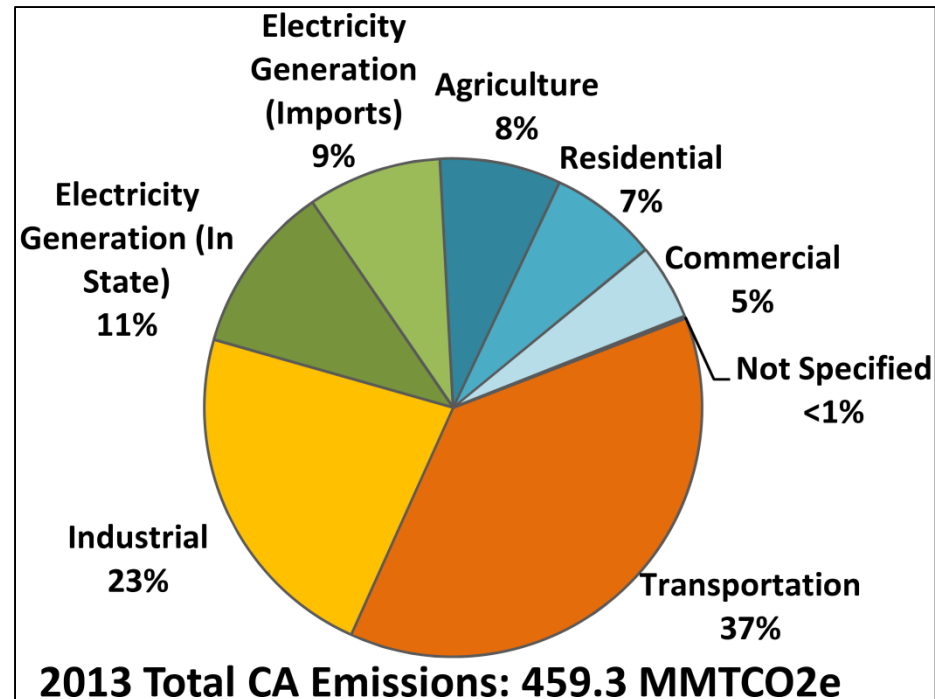
**Pavement Spending**  
Local \$/State \$ usually about 0.8 to 1



**SB 1**  
\$ 2.5 billion for state highways  
\$ 2.0 billion for local government

# How do Pavements Contribute to California GHG Emissions?

- 459 MMT CO<sub>2</sub>e in 2013
  - On road vehicles 155 MMT
    - Optimizing smoothness, texture, deflection energy on state network reduces by 1% of this
  - Refineries 29 MMT
    - Paving asphalt about 1 % of refinery production
  - Cement plants 7 MMT
    - Paving cement about 5 % of cement plant production
  - Commercial gas use 13 MMT
    - Very small amounts for asphalt mixing plants
  - Mining 0.2 MMT
    - Large portion for aggregate mining

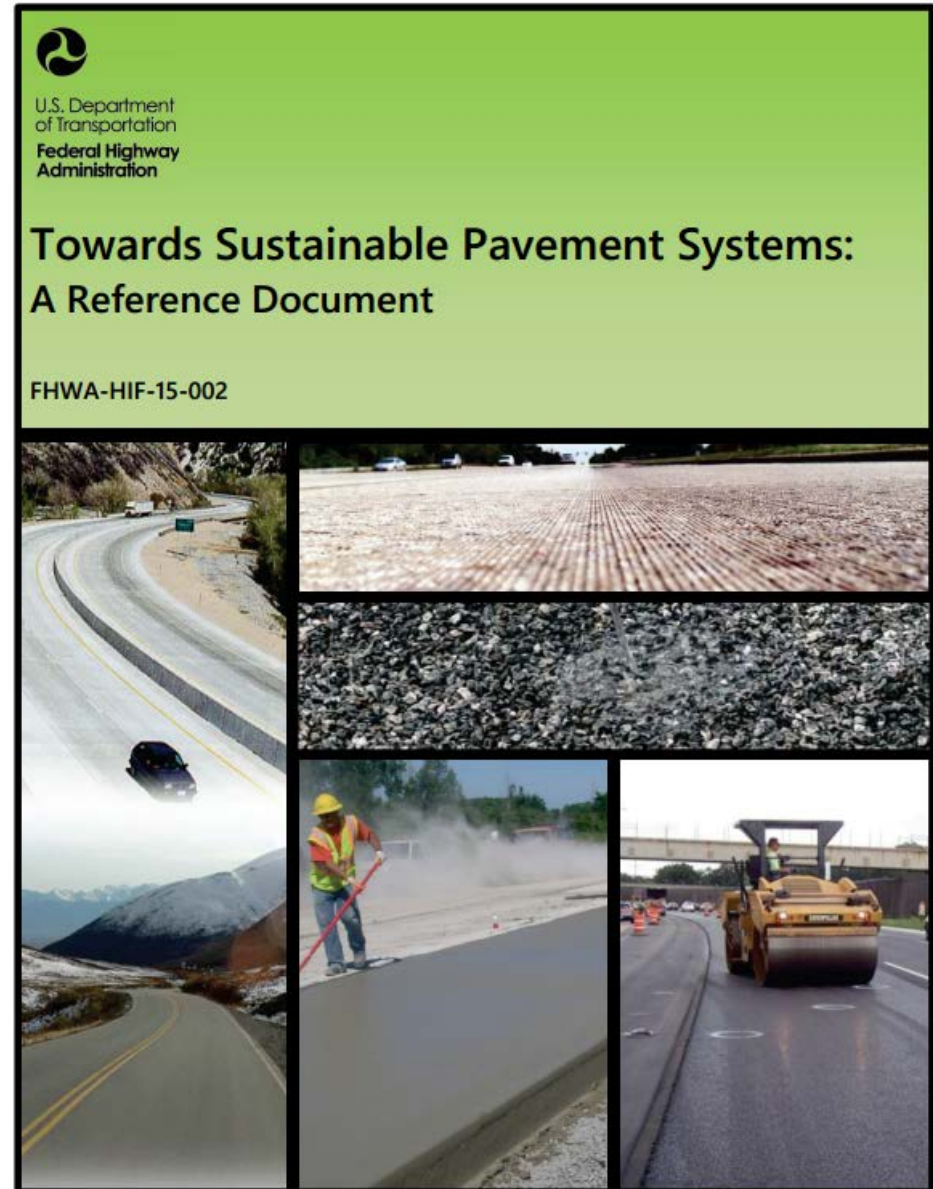


<b>Possible Pavement Reductions</b>		<b>MMT/year</b>
Rolling resist to optimum		1.5
Reduce cement use 50%		0.2
Reduce asphalt use 50%		0.7
Reduce hauling 10%		0.6
<b>TOTAL</b>		<b>2.9</b>

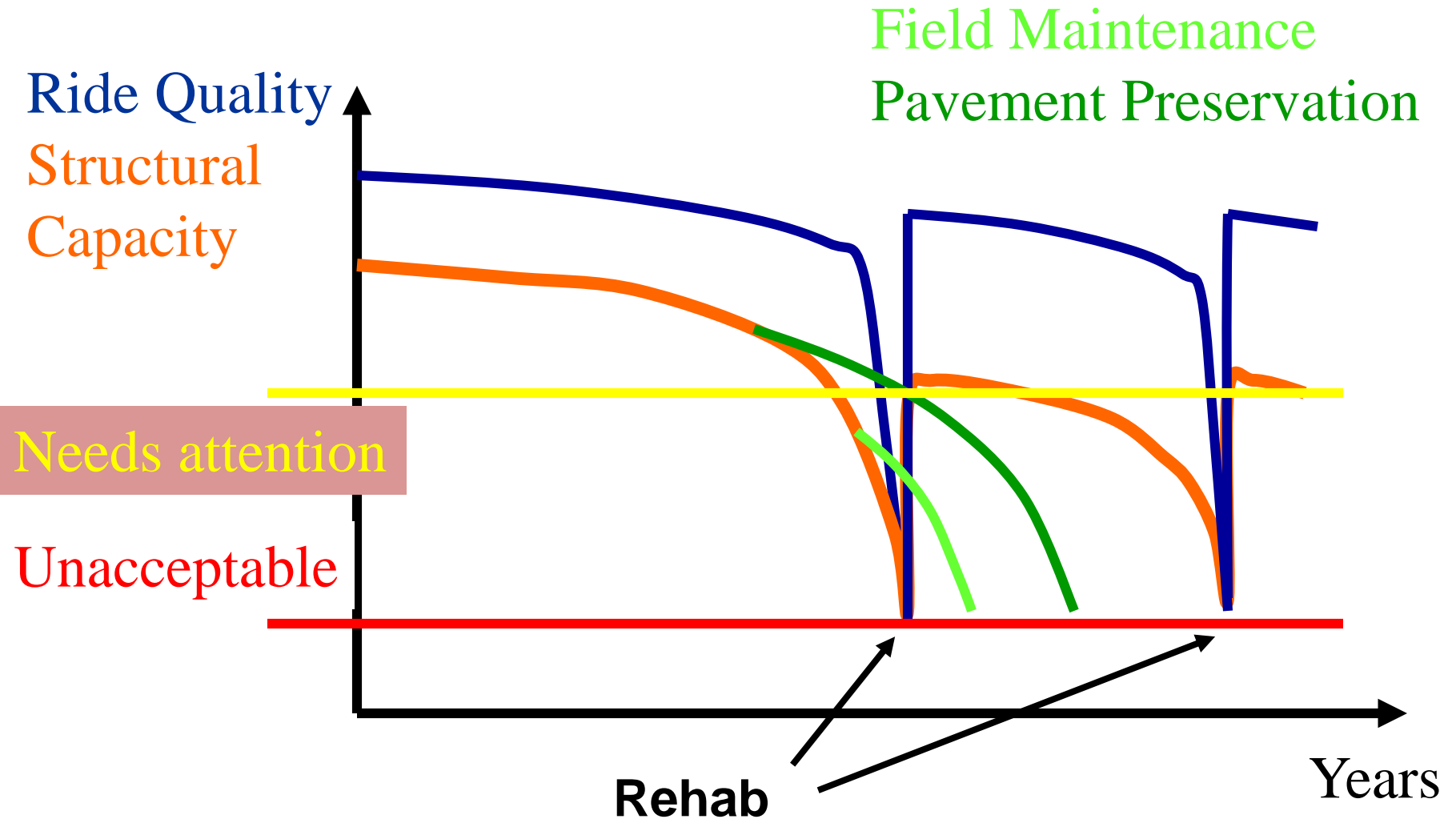
# So what can be done to make pavements more sustainable?

- FHWA Sustainable Pavements Task Group
  - More sustainable pavement reference document (2015)
  - Covers everything about pavement and sustainability
  - Tech briefs and webinars

[http://www.fhwa.dot.gov/pavement/sustainability/ref\\_doc.cfm](http://www.fhwa.dot.gov/pavement/sustainability/ref_doc.cfm)

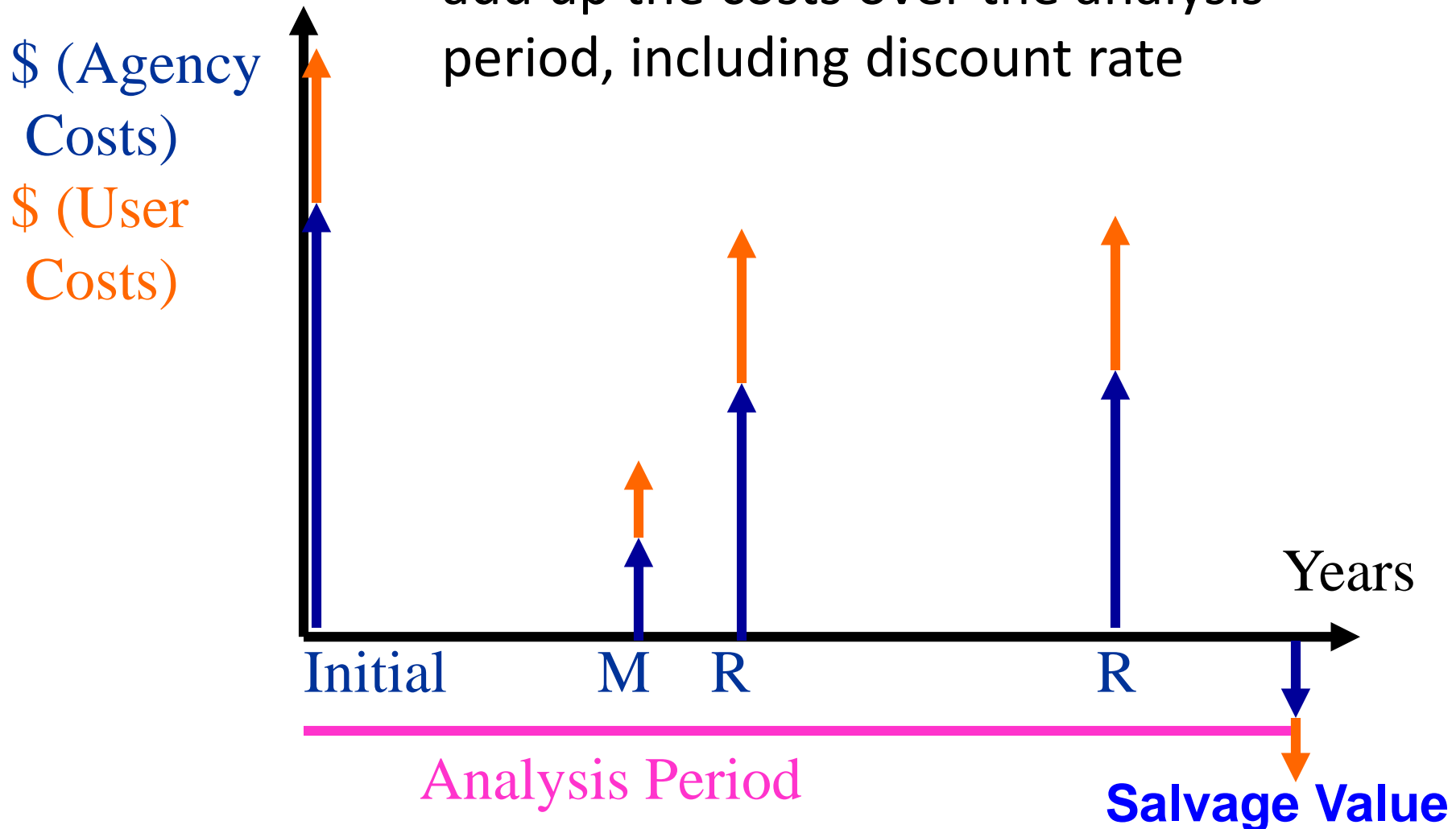


# Life Cycle Cost Analysis (LCCA) Basics



# LCCA calculations

- Net present value =  
add up the costs over the analysis period, including discount rate



# Where can LCCA be implemented?

- PMS decision tree optimization
  - Condition trigger levels for treatment (timing)
  - Treatment selection
- Pavement type selection
- Policy evaluation
  - Materials changes
  - Construction quality specifications
  - Design methods



# Four Key Stages of Life Cycle Assessment

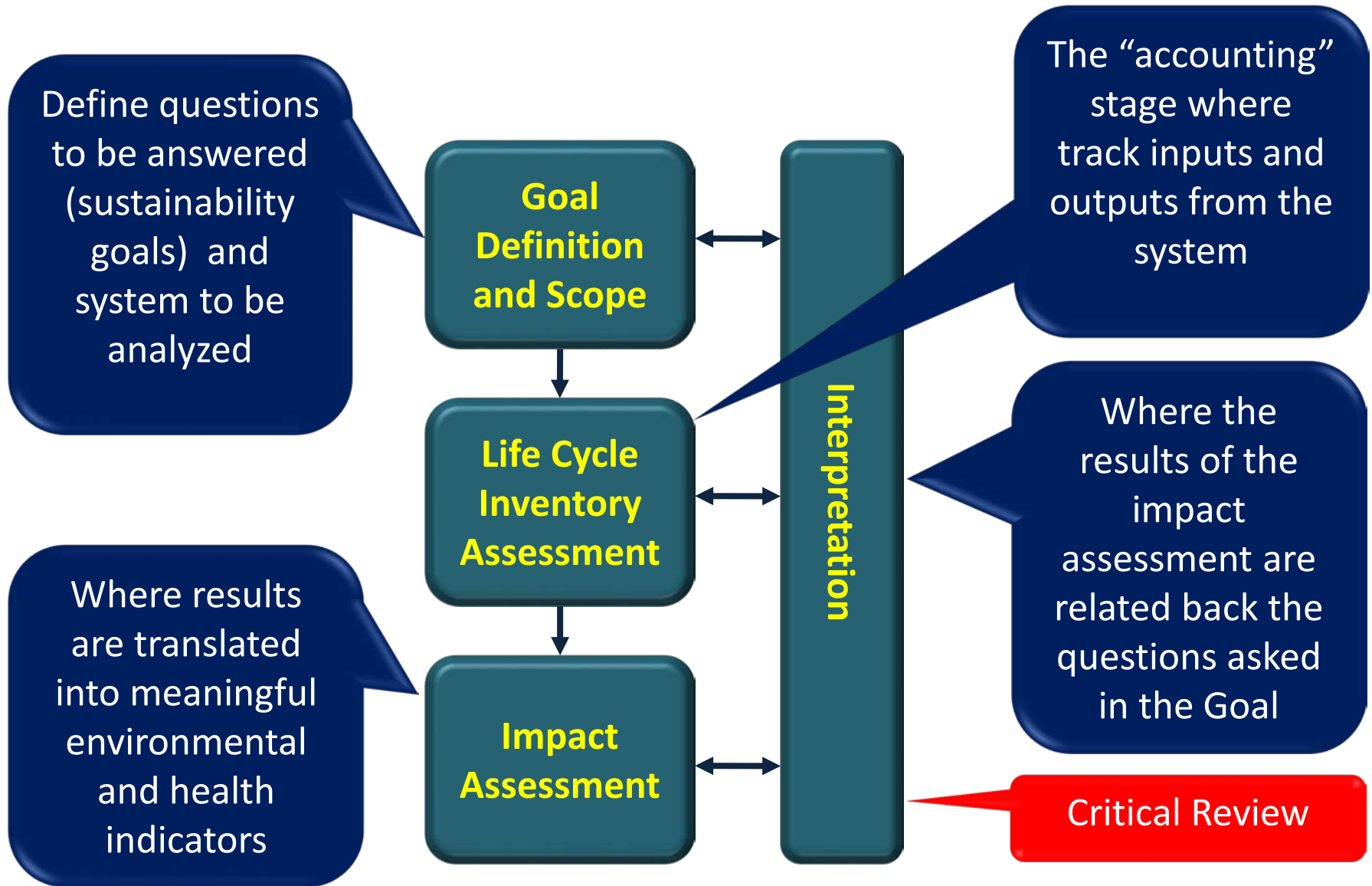
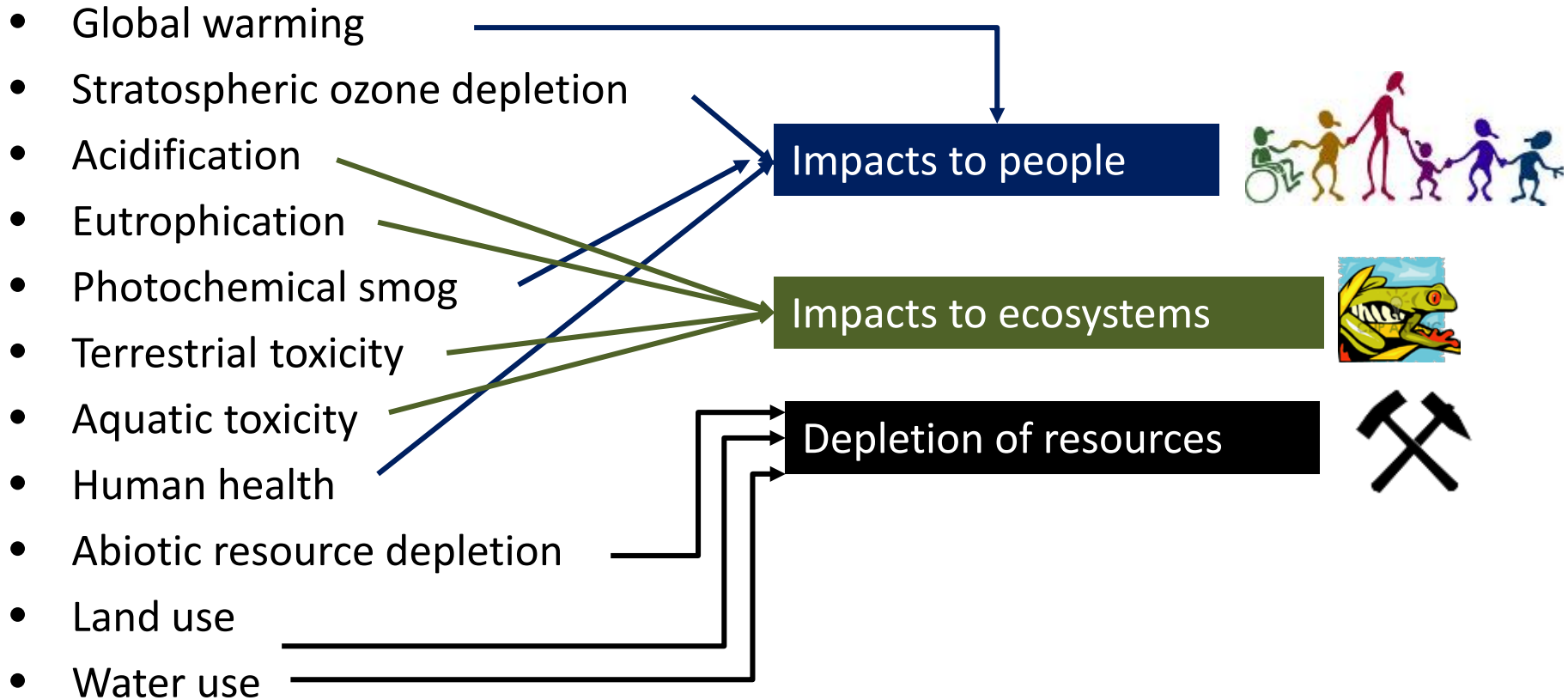


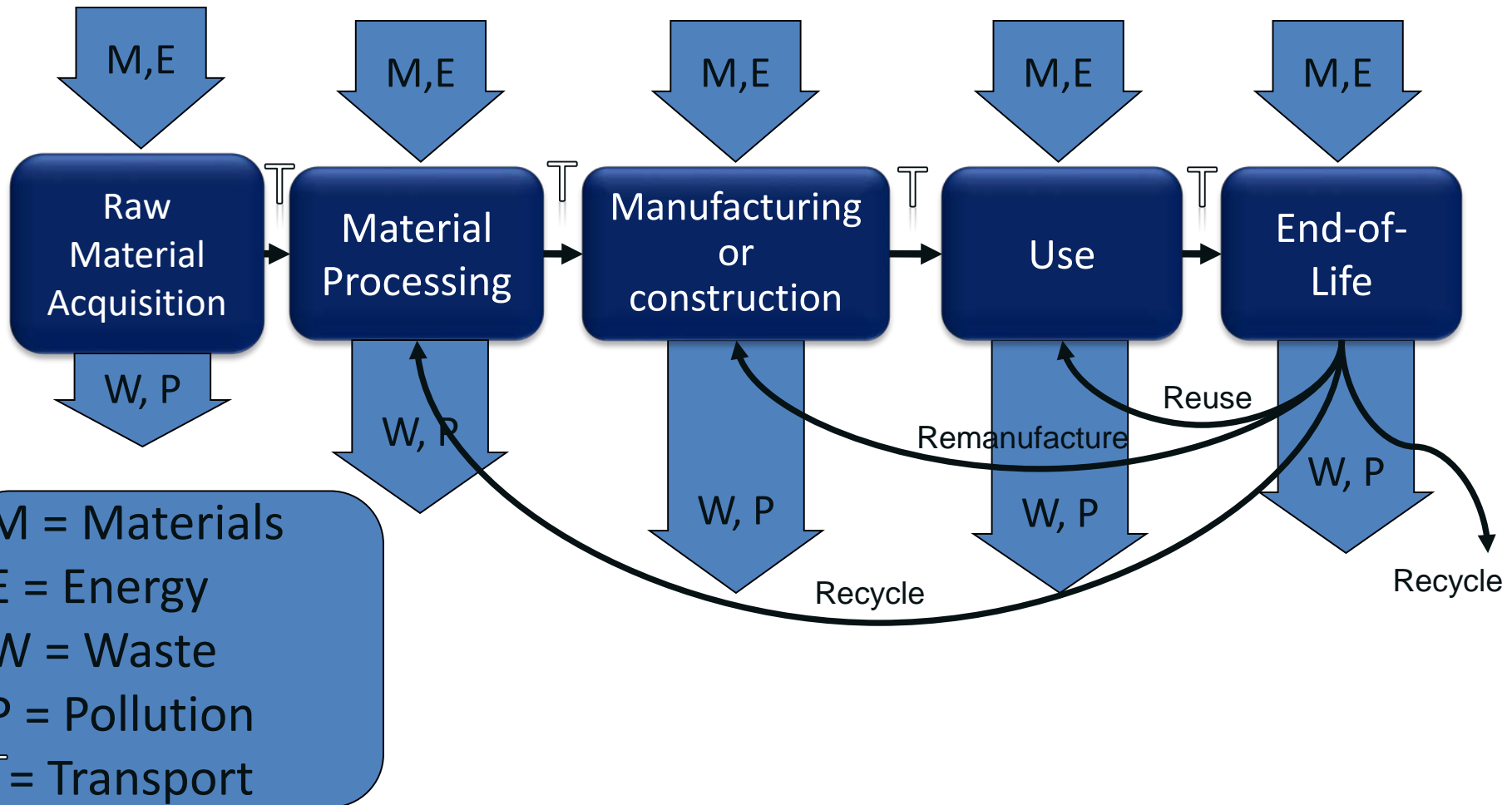
Figure based on ISO 14040, adopted from Kendall

# US EPA Impact Assessment Categories

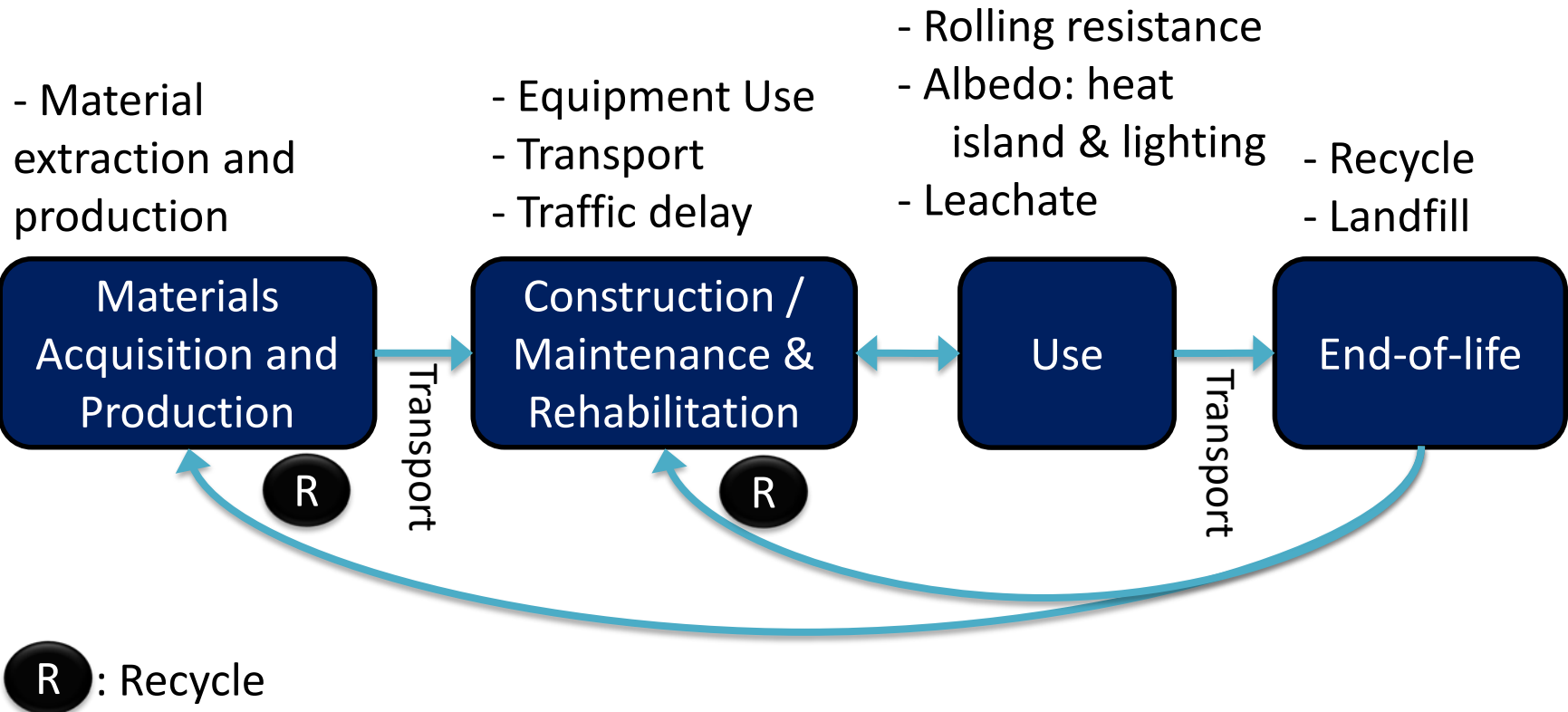
(TRACI – Tool for the Reduction and Assessment of Chemical and other environmental Impacts)



# Generic Life Cycle Assessment



# Pavement Life Cycle Assessment

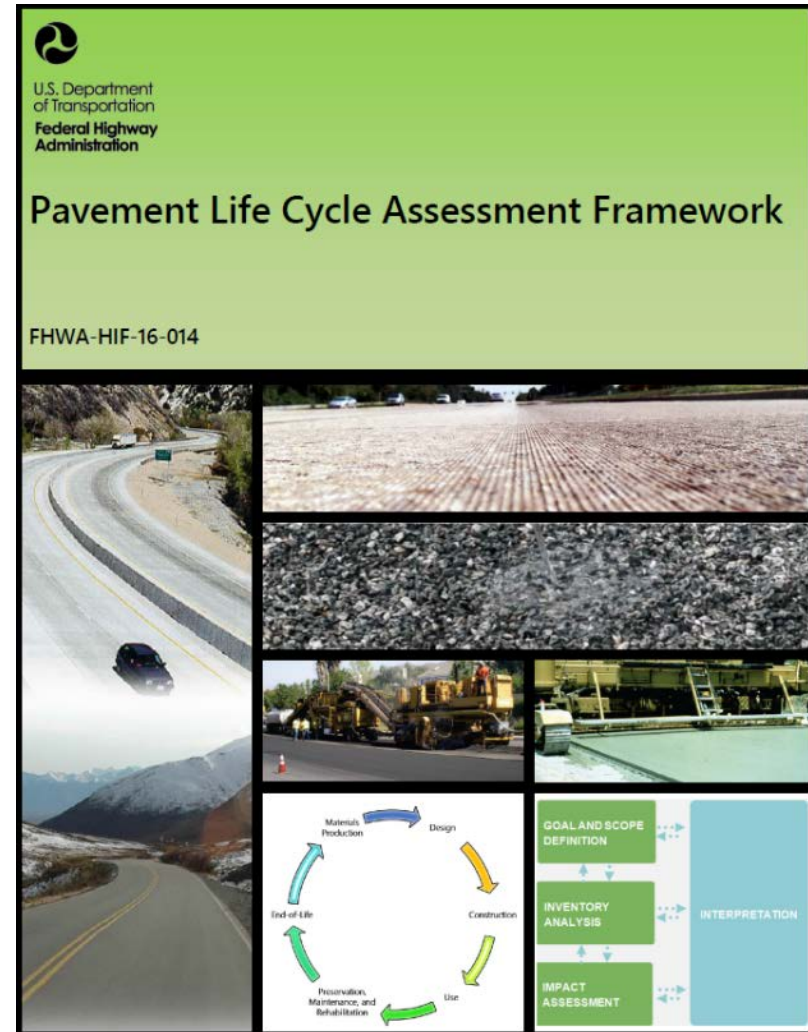


# Why use LCA for evaluating environmental performance?

- Quantifies outcomes:
  - GHG, energy, pollutants, finite resources
- Uses project-specific inputs:
  - materials, transport, construction, traffic levels, re-use
- Requires explicit prioritization of outcomes for decision-making
- Can account for regional and time variability, and other uncertainties in data sets and analysis

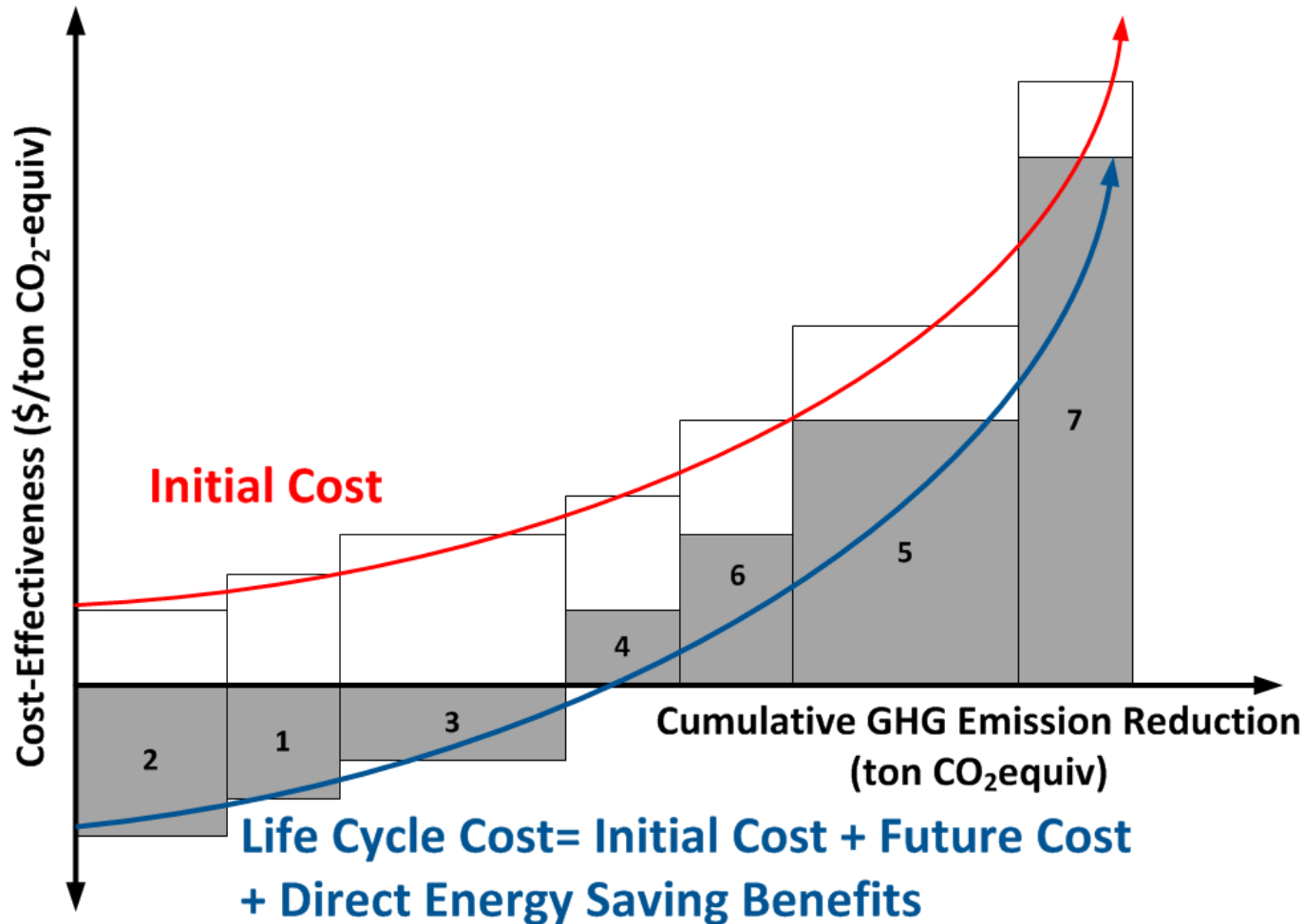
# ISO Standards and FHWA Pavement LCA Framework Document; LCA tools

- International Standards Organization (ISO) standards for LCA are generic for all materials
- FHWA guidance specific to pavements published in 2016
- New web-based pavement LCA tool being developed for Caltrans now: *eLCAP*
- New spreadsheet tool being developed for FHWA now



# What Should be Done for Sustainability?

Bang for your buck metric: \$/ton CO<sub>2</sub>e vs CO<sub>2</sub>e reduction



- Many alternatives to improve sustainability
- How to prioritize?
- Cost from Life Cycle Cost Analysis (LCCA)
- Environment from Life Cycle Assessment (LCA)

# Some Applications and Results



# Changes to improve sustainability

- Asphalt compaction
- Concrete mix specifications
- Unpaving
- Pavement management and preservation
- Measuring impacts of material you buy
- Heat island
- Preservation and bicycles

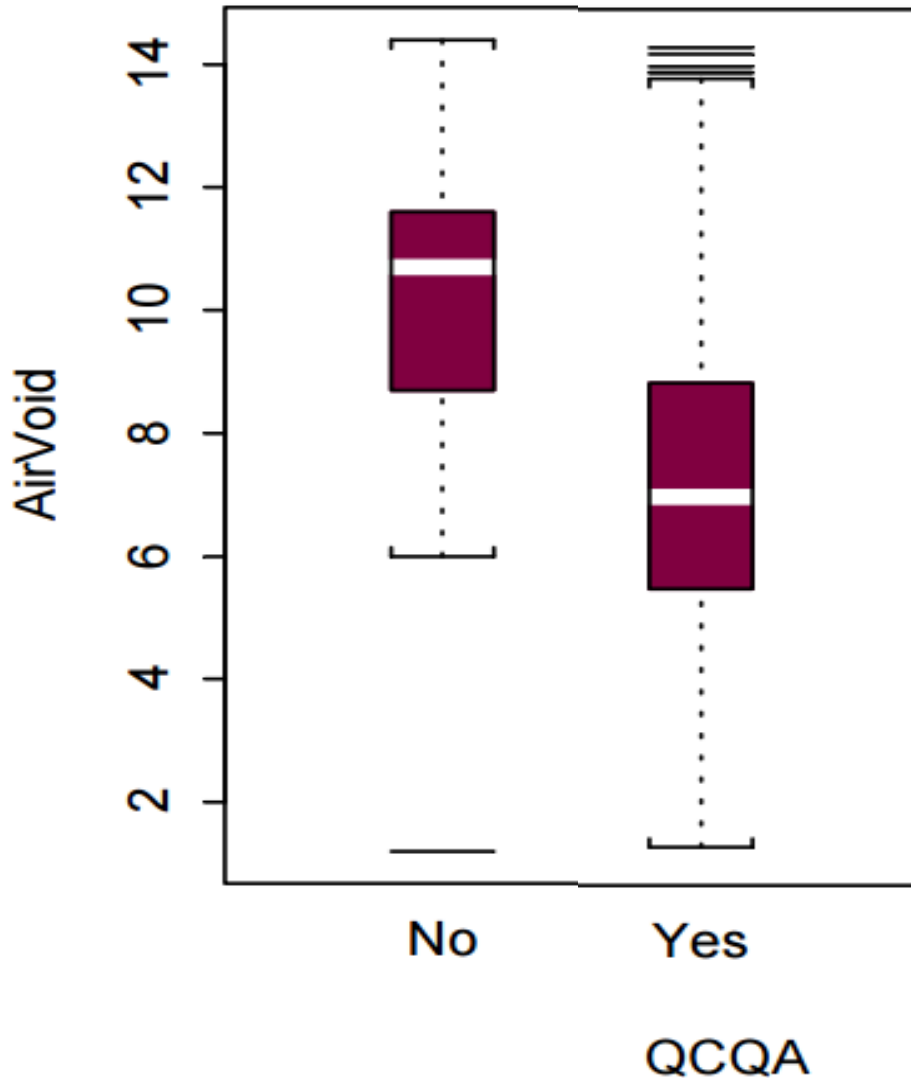
# LCA evaluation of Materials and Construction

- For most local roads the impacts of materials impacts greater than construction equipment, transport impacts and smoothness
  - And most of the impacts in the materials are in the asphalt or cement binder
  - Recycling that minimizes use of new asphalt and cement has benefit
  - Must consider full life cycle not initial impact
- Construction quality is very important
  - Getting longer life per ton or cy of material is usually the most effective way to reduce environmental impacts
  - Better compaction has no downsides

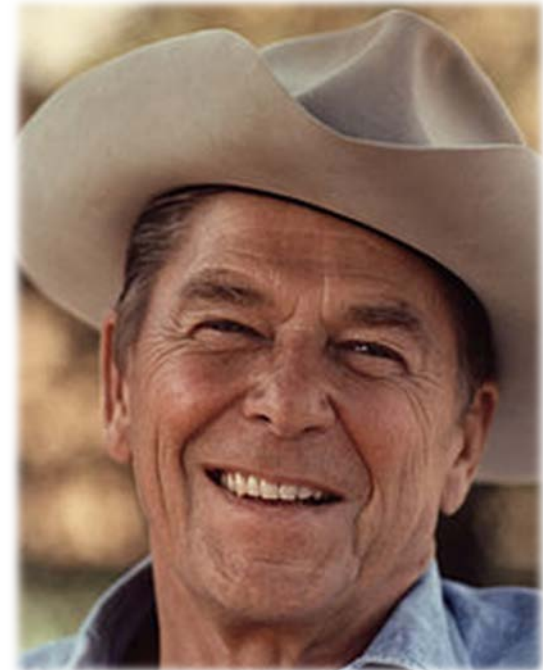
# Asphalt compaction specifications

- Is your asphalt living only half as long as it could?
  - Increase in air-voids of 1% = 10% shorter life
  - Typical air-voids achieved
    - If no measurement/penalties = 10 – 14%
    - If measurement/penalties if > 8% = 6 to 8%
    - Difference in life = **-40% = -8 years**
  - Why?
    - More air permeability = aging = raveling + cracking
    - More holes in it = cracking
    - More water permeability = moisture damage + aging

# Caltrans experience with method spec vs using in-place measurement and penalties (QC/QA)



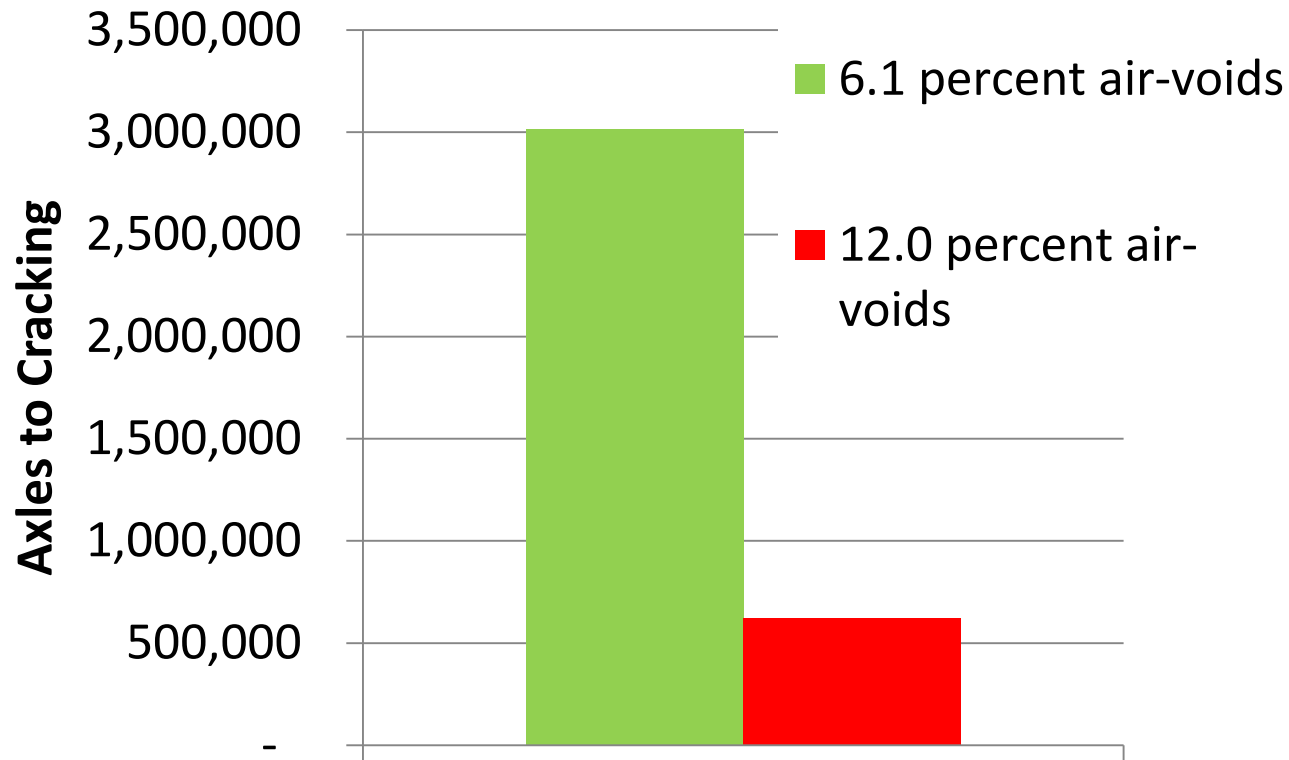
- Spec changed in 1996-98
- Very large culture change in Caltrans



“Trust but verify”

# Effect of compaction on axle loads to cracking

## 3 inch asphalt pavement



Simulation based on FHWA Westrack project field results

# What you need to do

- Use a quantitative (QC/QA) specification to measure compaction, do not mix method requirements (how to do the compaction) in the specification
- Write spec in terms of *in-place bulk density* and *theoretical maximum density* (TMD) and not *laboratory theoretical maximum density* (LTMD)
- Use cores or nuclear gauges calibrated for the specific mix/project to provide daily feedback to contractor and agency
- Collect and keep cores in case of a dispute
- Apply payment reductions if they don't meet your specification, and enforce those payment reductions

# LCCA and LCA example:

## 8% vs 12% air-voids

- Assumptions:
  - Rural pulverize HMA, compact, 4 in. HMA
  - \$26/sy
  - 12% air-voids = 12 year life
  - 8% air-voids = 18 year life
- Net present cost\* over 50 year period:
  - 12% air-voids = \$4.36 million
  - 8% air-voids = \$3.09 million = **29 % less cost**
- Greenhouse gas emissions are **34% less**

\*2% discount rate

# But what about?

- Won't this increase the bid cost for my asphalt?
- Isn't the cost of managing this specification high?
- Won't coring damage my new pavement?
- What can I do to help my contractors meet and exceed the specification and further increase the life of my overlays?





# Concrete mix specifications

- Older concrete specifications
  - Written to ensure enough cement to meet strength and durability requirements
  - Often included minimum cement content
- Modern concrete mix designs
  - Minimize need for portland cement
  - Replace with supplementary cementitious materials (SCM)
  - Minimize amount of cement paste in the mix:
    - dense aggregate gradations

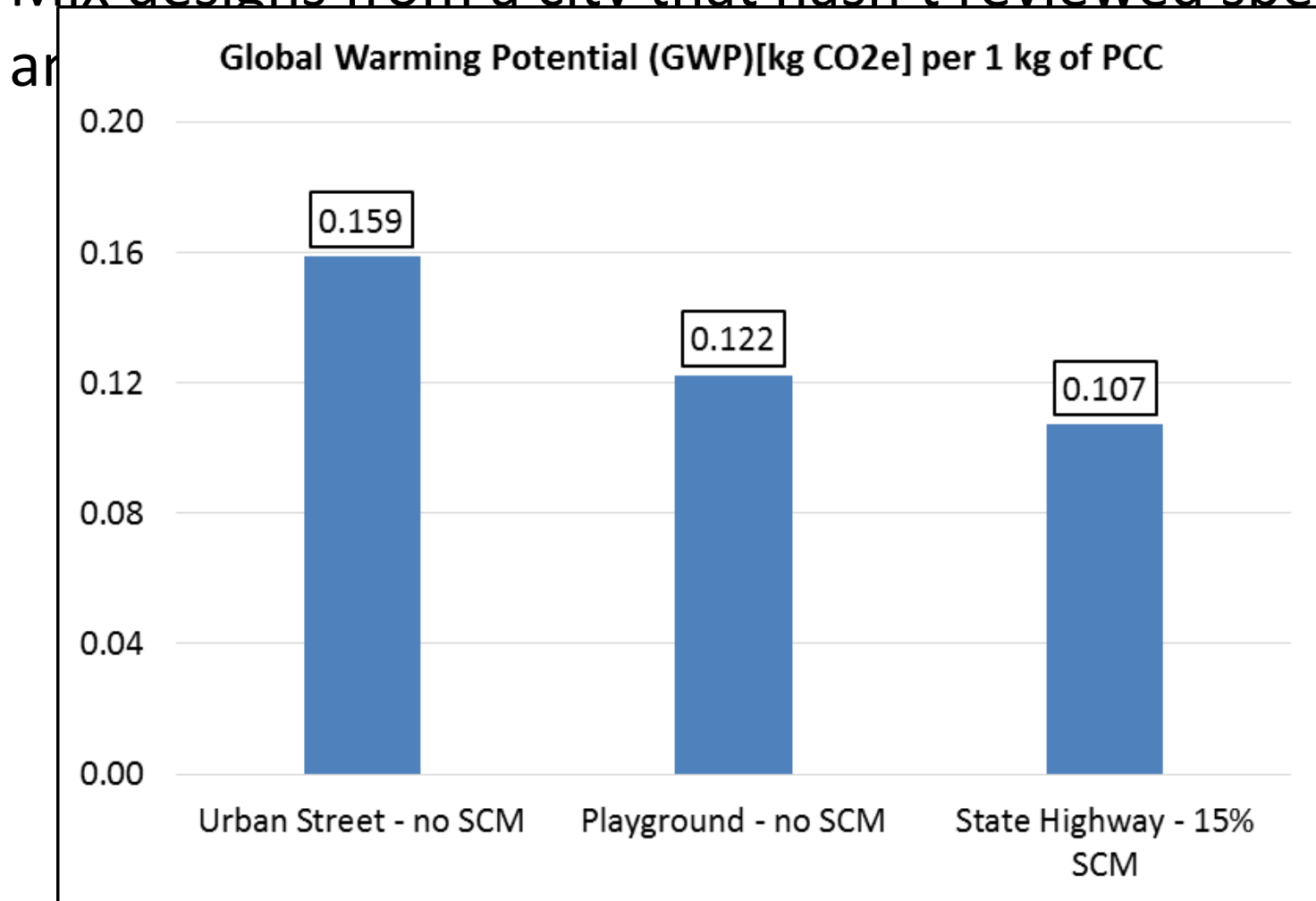


# Concrete mix specifications

- What are SCMs?
  - Fly ash, natural pozzolans, slag cement
  - These can come pre-blended (new ASTM specs)
  - Caltrans also allows 5% replacement with ground limestone
    - Agencies are evaluating up to 15%
- These changes to mix design specs
  - Decrease cost
  - Decrease environmental impact
  - Increase durability of the concrete
- When was the last time you reviewed your concrete specifications?

# Effects on greenhouse gas emissions

- Mix designs from a city that hasn't reviewed specs



# What you need to do

- *Use dense aggregate gradations:* Reduces cost, shrinkage
- *Specify limits on shrinkage and strength:* Reduces water contents
- *Require quality control and quality assurance testing for strength, shrinkage, other properties of interest.* Small cost for sampling and testing
- *Require use of supplementary cementitious materials.* Tend to reduce shrinkage, improve durability, reduce greenhouse gas emissions, may reduce cost
- *Allow the use of blended cements (ASTM C595)*
- *Work with a concrete mix design expert to review your specifications and change them*

# But what about?

- How do I know that these mixes will give me good performance?
- Will these changes in specifications cost me more?
- Are there any other issues such as constructability with these mixes?



# Other options that can have both cost and environmental benefits

- Reclamation/recycling of asphalt pavements
- Use of concrete demolition as aggregate base
- Use of RAP in asphalt mixes
- Bonded concrete overlays on asphalt with high supplementary cementitious material content
- Rubberized asphalt
- Rubberized cape seals
- In all cases, need to have good pavement engineering and especially good construction quality control
- Guidance regarding many of these items will be coming to CCPIC this year based on Caltrans and federally funded work

# Full-depth Reclamation (FDR)

- For badly cracked asphalt or to correct cross-slope
- Pulverize and stabilize (one pass), compact, overlay
- Stabilization options
  - Foamed asphalt (about 2.5 %) with cement (about 1%)
    - Need some granular material below the asphalt
  - Cement
    - If no granular material below asphalt
    - Enough cement to reach minimum strength and no more!
  - No stabilizer
    - Acts like granular base
  - Engineered emulsions
    - More work needed to develop recommendations

# Cold Central Plant Recycling (CCPR)

- Like FDR but set up a mobile plant on site
- Mill out asphalt, process on site, put back
- Can do any required subgrade stabilization





# Cold In-place Recycling (CIR)

- Partial depth (top 2 to 5 inches)
- Mill and stabilize, compact, overlay
- Stabilized with emulsion and a small amount of cement
- Must achieve correct gradation



# Pavement management

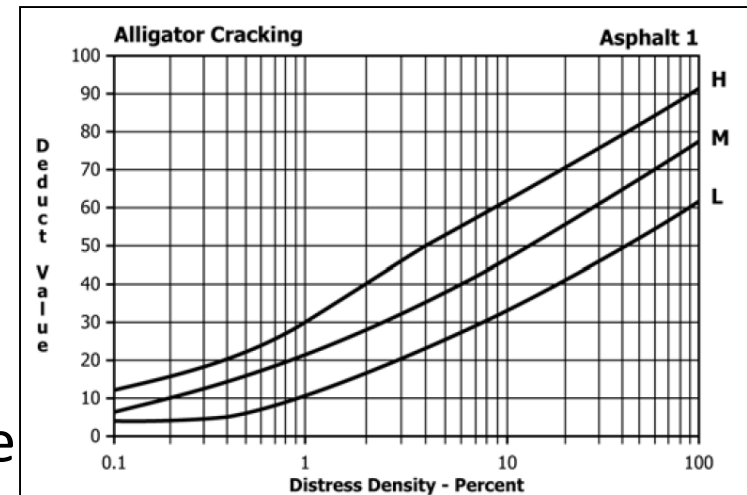
## Use of PCI vs measured cracking, rutting

- PCI is amalgamation of different distresses
- Can have same PCI for very different conditions
- Engineering meaning in the condition survey is lost

### – Recommend

- Use PCI as communication tool for management/public
- Manage asphalt pavement consider

- Cracking type (traffic related wheelpath cracks, aging/shrinkage related out of wheelpath cracks)
- Other distresses (rutting, raveling)



# Same PCI, different pavement condition

## **CASE 1: TRAFFIC LOADING RELATED, PCI = 34**

DISTRESS	SEVERITY	QUANTITY	DV
Alligator Cracks	High	1x6	18
Alligator Cracks	Medium	1x4 1x5 1x7	17
Potholes	Medium	3	48
Potholes	Low	3	30
Rutting	Low	2x5 2x8	10

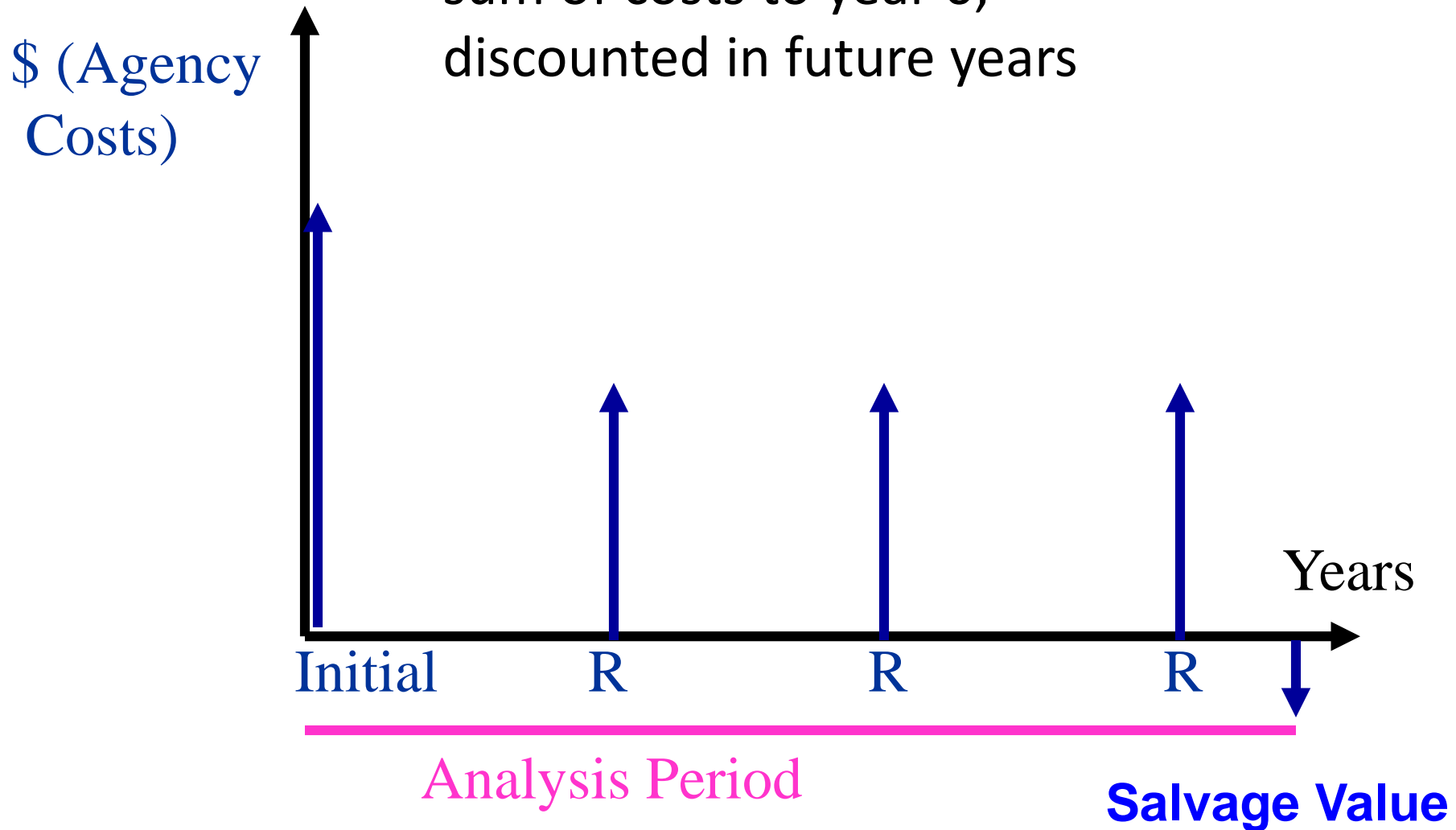
## **CASE 2: AGE, CONSTRUCTION, UTILITIES, OTHER FACTORS, PCI = 32**

Long/Trans Crack	High	15 20 8 6 12 18 6x7	43
Long/Trans Crack	Medium	25x2 18 13 9 10	20
Patching/Utility	High	25x4 25x2	40
Patching/Utility	Medium	12x6 4x7	20
Block Cracks	High	4x6 6x5	13

# Pavement management

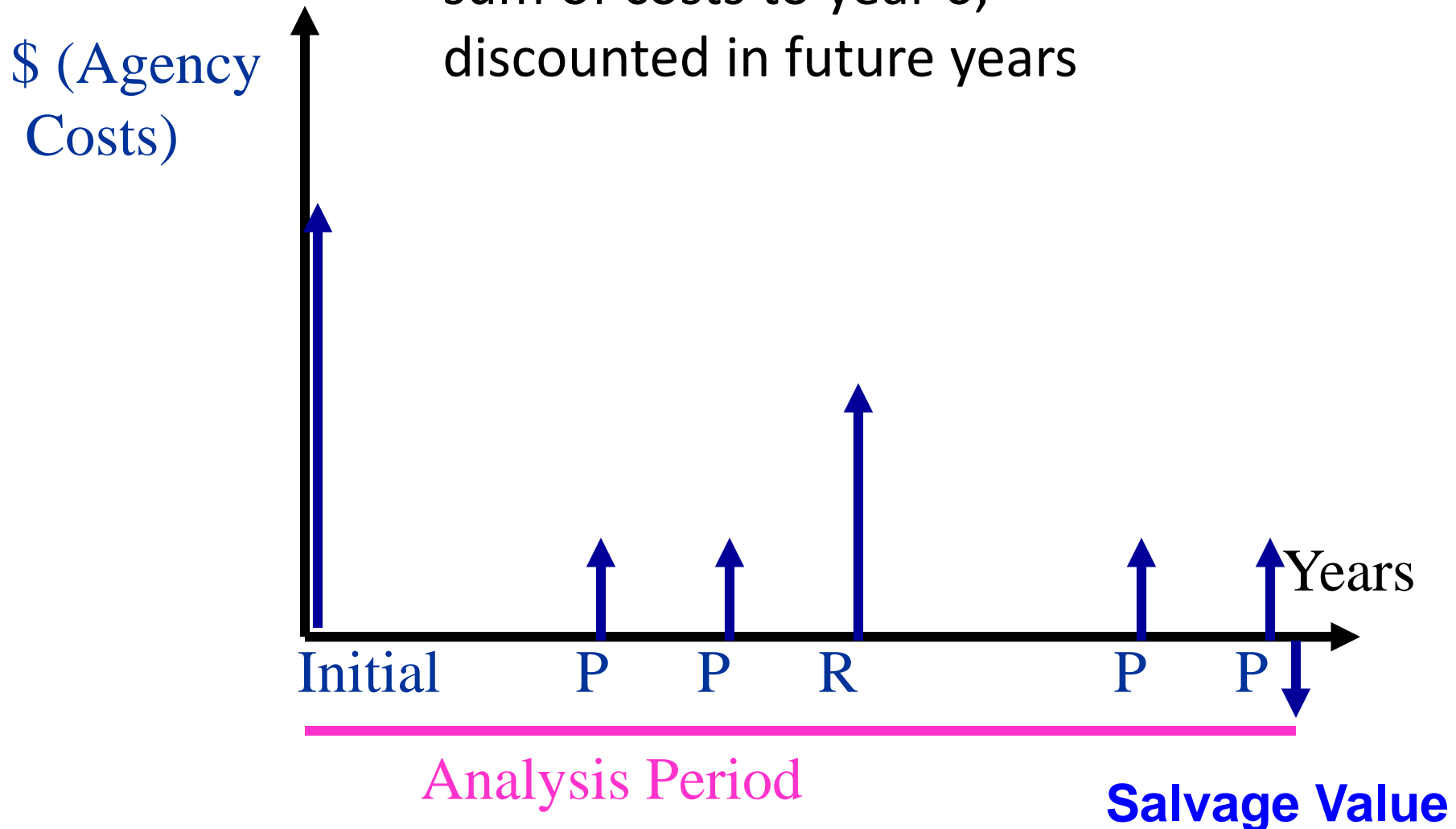
## Rehab with no preservation

- Net present value = sum of costs to year 0, discounted in future years



# Pavement management Rehab with preservation

- Net present value =  
sum of costs to year 0,  
discounted in future years



# LCCA results

## Urban alternatives

Activity	\$/sy	Year
HMA 2 inch mill and fill	38	0
HMA 2 inch mill and fill	38	20
HMA 2 inch mill and fill	38	40

Activity	\$/sy	Year
HMA 2 inch mill and fill	52	0
Remove, replace 6 inches HMA	52	25

Activity	\$/sy	Year
HMA 2 inch mill and fill	38	0
Slurry seal	7	12
Slurry seal	7	19
Slurry seal	7	26
HMA 2 inch mill and fill	38	33
Slurry seal	7	45

- 50 year analysis, 2% discount rate
- Remove and replace scenario 14% more cost
- Preservation scenario 12% less cost; 8% less GHG

# What you need to do

- Pavement management
  - Do engineering work based on truck traffic level, cracking and surface defects data, not PCI
  - Use your costs and LCCA to develop best treatment practice and preservation timing
    - Need performance models
    - Requires condition survey, traffic and as-built data
  - Learn to use LCCA to discuss preservation spending with council/board
  - CCPIC has created simple LCCA spreadsheet tool, currently being piloted

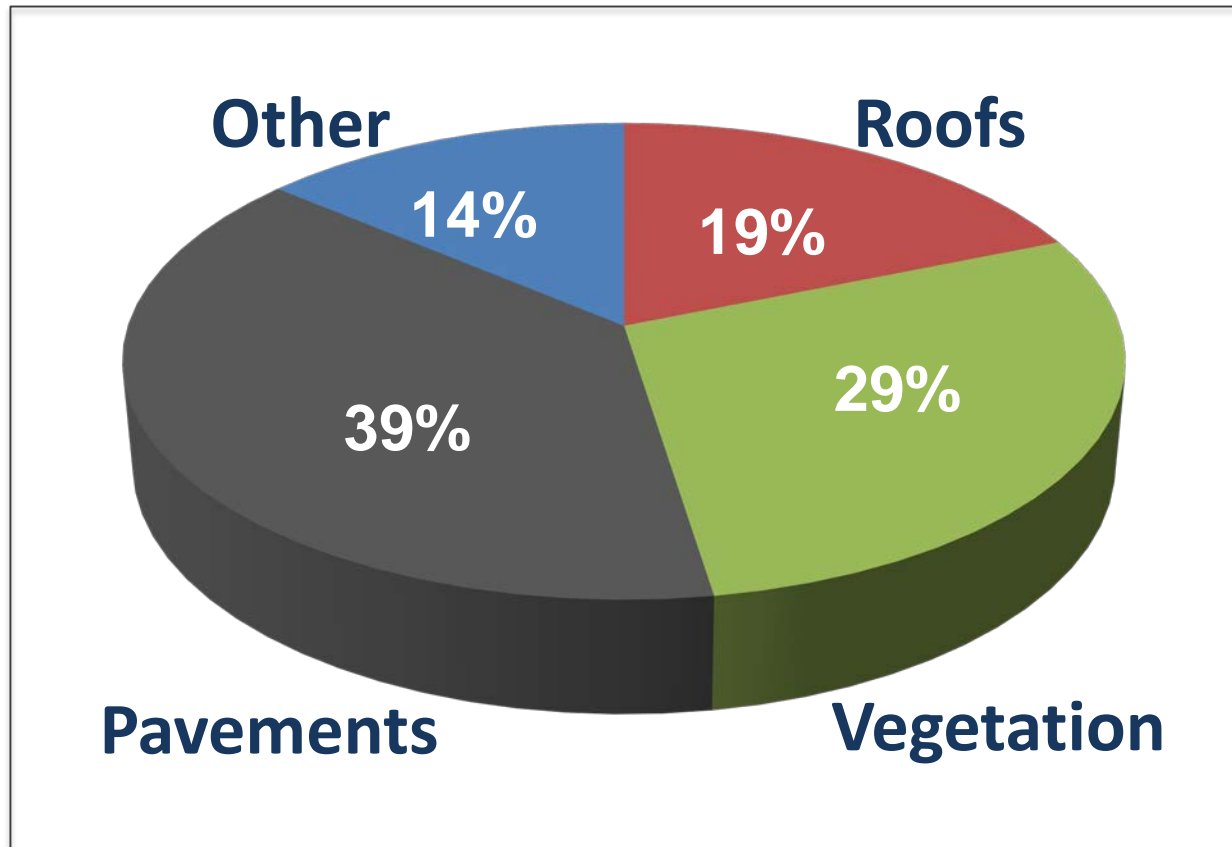
# Building pavement knowledge and getting it into practice

- CCPIC is developing a Pavement Engineering professional development certificate
  - Aimed for local government staff and their consultants
  - Through ITS Berkeley Tech Transfer
- Are you selecting pavement consultants based on pavement knowledge?
  - What questions are you asking in the interview?




Pavements are no longer just about  
carrying cars and trucks

# Pavements are an important part of the urban environment



Sacramento

# Pavements = urban hardscape not just roads and streets

- 
- Stormwater management, groundwater infiltration
  - Tire pavement noise
  - Human thermal comfort
  - Pedestrian and bicycle functionality
  - Better interaction with urban forestry

# Final Thoughts: Communicating with the Public about Pavement

- What is our message about what is being done that is positive and better
- Livability and Quality of Life, relate to people's lives and wallets/purses
  - Access by different modes, shared prosperity, environmental impact, public participation, safe and healthy communities, wise use of resources
- Set goals and measure and report progress
- Have the right messengers
  - Trusted messengers who are informed about pavement progress, not necessarily pavement engineers!

# Thank you, Questions?

- Tech briefs and other information at:
  - [www.ucprc.ucdavis.edu/ccpic](http://www.ucprc.ucdavis.edu/ccpic)
- John Harvey
  - University of California Pavement Research Center  
(Davis, Berkeley)
  - [jtharvey@ucdavis.edu](mailto:jtharvey@ucdavis.edu)